



**Board of Trustees
Committee Meeting
Rudolph Jones Student Center
Room 242**

**Committee on Legal, Audit, Risk and Compliance
Thursday, September 21, 2022
11:30 a.m.**

AGENDA

Call to Order

Glenn Adams, Committee Chair

Welcome and Opening Remarks

Glenn Adams, Committee Chair

Roll Call

Approval of Minutes

June 8, 2022

Information Item:

A. LARC Update

Wanda Jenkins
*General Counsel and Vice Chancellor for Legal, Audit,
Risk and Compliance*

B. Information Technology Update

Hector Molina
*Vice Chancellor for Information Technology Services
and Chief Information Officer*

Charlie Mewshaw
Chief Information Security Officer

There are no Action Items to be presented at this Committee meeting.

Committee members: Glenn Adams, Warren McDonald, John McFadyen, Frederick Nelson
William Warner

Staff Liaison: Wanda Jenkins

Board Professional: Janae Whitmore

For further information, please contact:

Wanda Jenkins

General Counsel and Vice Chancellor for Legal, Audit, Risk and Compliance

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Board of Trustees Meeting Minutes

COMMITTEE ON LEGAL, AUDIT, RISK, AND COMPLIANCE

WEDNESDAY, JUNE 8, 2022

1:00 p.m.

The LARC Committee of the Fayetteville State University Board of Trustees convened Wednesday, June 8 2022, at 1:00pm in the Rudolph Jones Student Center, Multi-Purpose Room 242 and via zoom. The meeting was called to order at 1:00 p.m., by Chair Glenn Adams.

ROLL CALL

The following trustees were in attendance: Chair Glenn Adams, Mr. Frederick Nelson, Dr. Warren McDonald, Mr. John McFadyen and Mr. William Warner were present

Staff persons in attendance: Atty. Wanda Jenkins, Ms. Elizabeth Hunt, Mrs. Megan Fees, and Vice Chancellor Dr. Hector Molina.

APPROVAL OF MINUTES

It was moved by Trustee McFadyen and seconded by Trustee McDonald that the minutes for March 23, 2022, be approved. The motion carried.

INFORMATION / ACTION ITEMS

The Legal, Audit, Risk, and Compliance Committee Meeting was opened by Chairman Glenn Adams, who introduced Vice Chancellor Wanda Jenkins who introduced the presenters.

Internal Audit Update – Megan Fees (see presentation)

Chief Audit Officer, Megan Fees provided an update of the 20-21 audit plan and an overview of the 2022-23 Internal Audit Plan that requires approval by the Board of Trustees.

Attend monthly coals with UNC System Office, continuous risk assessment and reporting to audit committee. Annual audit plan- purchasing, review of information system general controls, research grants, advancement, quarterly cash count. Just purchased and installed Audit software- which will help with annual risk assessments

Chief Audit Officer, Megan Fees provided an update of the 20-21 audit plan and an overview of the 2022-23 Internal Audit Plan that requires approval by the Board of Trustees.

2022 Audit Plan has been moved by Trustee McDonald and Seconded by Trustee McFadyen

ERM (Enterprise Risk Management) Overview & Compliance Update - Elizabeth Hunt (see presentation)

Compliance and Risk Management Officer Beth Hunt updated the Committee on the top 5 risks submitted to the UNC System Office. The deadline for submission of our 2022 top 5 risks to the system office is April 30th, we were also required to provide an update on how we are managing the top five risks that were submitted in 2021. Our top risks in 2021 were Risk owner, Risk Management Approach, Remediation. The top five risk identified in the system risk register are Cyber Security/ Incident Response, Employee Retention/ Recruitment, Campus Safety/ Mental Health, Student Retention and Facilities Maintenance. She also discussed how the University is monitoring the top 5 risk and reporting and updating to the UNC System Office. Beth spoke on the clarity of the survey questions, next steps for Enterprise Risk Management Committee are risk tolerance; what risk we can tolerate and what risk can we monitor.

Information Technology Update -Dr. Hector Molina (see presentation)

Vice Chancellor for Information Technology, Dr. Molina, briefed the Committee on the results of a recent 3rd party lead IT management and governance assessment, that measured the maturity of ITS. The assessment focused on numerous areas of management and operations; ultimately revealing the perceived current state of ITS. Dr. Molina shared the upcoming goals for the ITS Division, for Fiscal Year 2022-2023, and how those goals would promote improved execution of IT operations.

Dr. Molina, also shared with the Committee a glance of the recent investment made in both our campus infrastructure and cybersecurity.

ADJOURNMENT

Chair Adams Adjourned at 1:35 p.m.

Respectfully submitted, Janae Whitmore

Division of Legal, Audit, Risk and Compliance

Wanda Jenkins
General Counsel and Vice Chancellor for
Legal, Audit, Risk and Compliance

DIVISION GOALS – FY'23



Strategic Priority 3 | Employee Vitality

Drawing upon the HBCU culture of giving back, the university will further develop a work environment that promotes, supports, and rewards excellence as well as innovation around employee productivity, satisfaction, and retention.

Goal: Establish an Office of Equity within the Division of Legal, Audit, Risk and Compliance. Create a centralized structure within the University which will allow employees, students and third parties to file complaints of unlawful discrimination, harassment and/or related retaliation. The Office will also oversee the University's investigation into, response to and resolution of all such complaints.

Strategic Priority 5 | University Sustainability

Fostering a culture of sustainability is essential to the success of this university. This is accomplished by maximizing funding and aligning fundraising initiatives with university priorities

Goal: Create a culture of risk awareness, through policy implementation and training) where all employees understand and consider risk in their decision-making.

CONSTRUCTION COMPLIANCE



The Division of Legal, Audit, Risk and Compliance will be intimately engaged in the University's capital projects in order to ensure compliance with laws/regulations/policies. The engagements will occur prior to the initiation of a capital project and during the construction/renovation phase.

LARC will specifically:

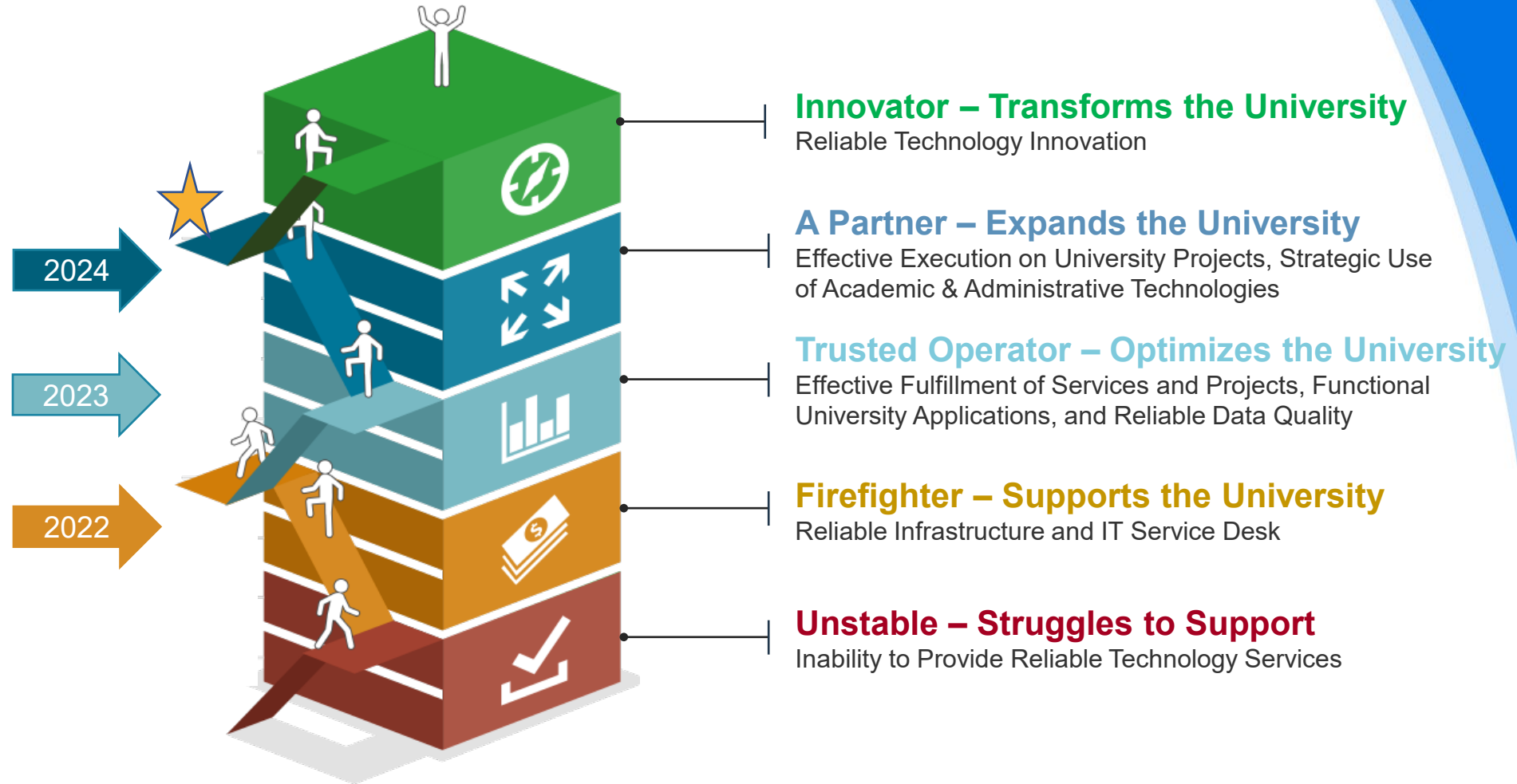
- Continuously engage with University officials and the University's Owner's Representative.
- Review bid documents and contracts and make revisions as needed.
- Serve as a member of the pre-selection committees charged with reviewing the qualifications of design firms and construction manager-at-risk firms who express interest in specific University capital projects.
- Monitor the efforts of contractors and subcontractors to meet or exceed the participation goals for historically underutilized businesses.
- Ensure compliance with the Americans with Disabilities Act, specifically the ADA Accessibility Guidelines as adopted by the U. S. Department of Justice.
- Oversee a process requiring contractors to attest that their personnel have had a current criminal background check and the contractor is assigning employees to work on campus who meet the University's suitability requirements

QUESTIONS

Division of Information Technology Services

Dr. Hector Molina
Vice Chancellor for Information Technology | CIO

PURSUIT OF EXCELLENCE...



DIVISION GOALS – 2022-23



Goal #1:
*Customer
Service*



Goal #2:
*Transform
Network
Infrastructure*



Goal #3:
*Talent
Management*



Goal #4:
*Security
Awareness*



Goal #5:
*Modernize
Classroom
Technology*

Information Security Office

Charlie Mewshaw
Chief Information Security Officer



Information Security Program



Security
Operations



Network
Security



Incident
Response



Risk & Vuln.
Mgmt.



Outreach &
Awareness



Strategy

Cybersecurity Outreach & Awareness

FALL

- Start of semester simulated phishing campaign.
- One month follow-up campaign.
- Launch of cybersecurity help page.
- Cybersecurity Awareness Month: live events every week.



WINTER

- Review and assess efficacy of Fall Semester efforts.
- Keep what worked, revamp low return efforts.



SPRING

- Start of semester simulated phishing campaign.
- On campus student outreach events.
- Spring “refresher” cybersecurity webinar.

Campus Engagement Strategy

- **Consistency in message and response** will improve the University's cybersecurity posture.
- **Staff are our most valuable resource** as the first point of contact for users, training and retention strategies are key for strengthening this resource.
- **Commitment to building an outreach and awareness program** that engages all members of the FSU community.

QUESTIONS